



#6 Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In Re the Application of:

FREEMAN ET AL.

Serial No.: 09/815,377

Filed: March 21, 2001

Atty. File No.: 4154-11-CIP

For: "TILT FOCUS METHOD AND  
MECHANISM FOR AN OPTICAL  
DRIVE"

Office of Initial Patent Examinations  
Customer Service Center  
Commissioner of Patents  
Washington, D.C. 20231

Group Art Unit: 2151

Examiner:

AUG 21 2001

Technology Center 2100

REQUEST FOR CORRECTION OF  
FILING RECEIPT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
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SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO COMMISSIONER OF PATENTS AND  
TRADEMARKS, WASHINGTON, DC 20231 ON

July 11 2001

SHERIDAN ROSS P.C.

BY:

Lois R. Acellano

Dear Sir:

The official Filing Receipt for the above-referenced patent application contains the following  
clerical errors:

1. The "Domestic Priority data as claimed by applicant" is incorrect. Please amend the  
statement to reflect the correct claim of priority as follows:

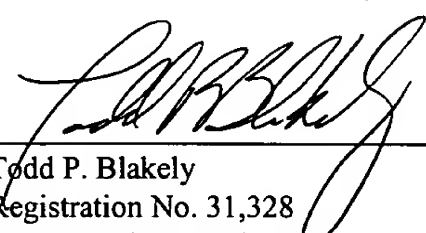
This application is a CIP of 09/557,284 04/24/00  
and also claims priority from 09/315,398 05/20/99

For your reference, a copy of the Filing Receipt is enclosed, with the corrections indicated in red. Please issue a corrected Filing Receipt for this patent application.

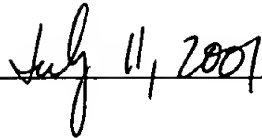
Respectfully submitted,

SHERIDAN ROSS P.C.

By: \_\_\_\_\_

  
Todd P. Blakely  
Registration No. 31,328  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: \_\_\_\_\_





## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
✓ 09/815,377	✓ 03/21/2001	2151	0.00	✓ 4154-11-CIP	✓ 51	✓ 21	✓ 2

✓ TODD P. BLAKELY, ESQ,  
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JUN 04 2001

SHERIDAN, ROSS

CONFIRMATION NO. 3940

FILING RECEIPT



\*0000000013984\*

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 AUG 21 2001

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 Date Mailed: 05/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

- ✓ Robert David Freeman, Erie, CO;
- ✓ Thomas Edward Berg, Fort Collins, CO;
- ✓ David William Niss, Boulder, CO;
- ✓ Peter Jeffrey Raymond, Erie, CO;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/557,284 04/24/2000

AND CLAIMS BENEFIT OF 60/140,633 06/23/1999

ALSO CLAIMS Priority from 09/315,398 05/20/99

**Foreign Applications**

If Required, Foreign Filing License Granted 05/23/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title



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Bib Data Sheet

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CONFIRMATION NO. 3940

<b>SERIAL NUMBER</b> 09/815,377	<b>FILING DATE</b> 03/21/2001 <b>RULE</b>	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2151	<b>ATTORNEY DOCKET NO.</b> 4154-11-CIP
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**APPLICANTS**

Robert David Freeman, Erie, CO;  
Thomas Edward Berg, Fort Collins, CO;  
David William Niss, Boulder, CO;  
Peter Jeffrey Raymond, Erie, CO;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/557,284 04/24/2000  
AND CLAIMS BENEFIT OF 60/140,633 06/23/1999  
AND A CON OF 09/315,398 05/20/1999

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED****\*\* 05/23/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CO	<b>SHEETS DRAWING</b> 51	<b>TOTAL CLAIMS</b> 21	<b>INDEPENDENT CLAIMS</b> 2
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

TODD P. BLAKELY, ESQ,  
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**TITLE**

Tilt focus method and mechanism for an optical drive

<b>FILING FEE RECEIVED</b> 858	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit